

# Profinite and residual methods in geometric group theory

Sam Hughes

(S. Hughes) MATHEMATICAL INSTITUTE, ANDREW WILES BUILDING,  
OBSERVATORY QUARTER, UNIVERSITY OF OXFORD, OXFORD OX2 6GG,  
UK

*Email address:* `sam.hughes@maths.ox.ac.uk`



## Contents

Introduction	1
Chapter I. Residual finiteness and the profinite topology	2
I.A. Residual finiteness	2
I.A.1. Definitions, examples, and basic properties	2
I.A.2. Mal'cev's Theorem	5
I.A.3. An aside: Hopficity	6
I.B. Subgroup separability	8
I.B.1. The definition	8
I.B.2. LERF groups	9
I.B.3. The connection with covering theory	10
I.C. Pro topologies on groups	11
I.C.1. The definition	11
I.C.2. Virtual retracts	12
Bibliography	14
Index	15
Todo list	16
	17

## Introduction

Todo 1: Write introduction

MOTIVATING QUESTION (Profinite rigidity). *Given a finitely generated group  $G$  and a class of groups  $\mathcal{C}$ . To what extent do the isomorphism classes of finite quotients of  $G$  determine  $G$  amongst groups in  $\mathcal{C}$ ?*

## Residual finiteness and the profinite topology

### I.A. Residual finiteness

**I.A.1. Definitions, examples, and basic properties.** We will make repeated use of the following lemma of Marshall Hall Jr. [Hal50]

**Lemma I.A.1.1.** *A finitely generated group  $G$  has only finitely many subgroups of a given finite index  $n$ .*

PROOF. Let  $H$  be a subgroup of  $G$  of index  $n$  and consider the action of  $G$  on the set of cosets  $G/H$ . Note that  $|G/H| = n$ . The action defines a homomorphism  $\psi: G \rightarrow \text{Sym}(G/H)$ . Now,  $g \in H$  if and only if  $gH = H$ . So  $H = \text{Stab}_G(H)$  and this is completely determined by the homomorphism  $\psi$ . Let  $X$  be a finite generating set for  $G$ . The homomorphism  $\psi$  is determined by its images  $\psi(x)$  for  $x \in X$ . But  $\text{Sym}(n)$  is finite so there can only be finitely many possibilities for  $\psi$  and hence only finitely many possibilities for  $H$ .  $\square$

We now turn to the star of the section: residual properties.

**Definition I.A.1.2** (Residually  $\mathcal{P}$ ). Let  $\mathcal{P}$  be a property of a group (e.g. finite, nilpotent, soluble, amenable). A group  $G$  is *residually  $\mathcal{P}$*  if for every non-identity element  $g \in G$ , there exists a homomorphism  $\alpha: G \rightarrow Q$  such that  $Q$  has  $\mathcal{P}$  and  $\alpha(g) \neq 1_Q$ .

Note that this is equivalent to the following: for every element  $g \in G$  there exists a normal subgroup  $N \triangleleft G$  such that  $G/N$  has  $\mathcal{P}$  and  $g \notin N$ .

We will now hone in on residual finiteness.

**Examples I.A.1.3.** We record a number of groups that are easily seen to be residually finite.

- (1) Finite groups are clearly residually finite.
- (2) The group  $\mathbb{Z}$  is residually finite.  
*Proof.* for each  $n \in \mathbb{Z}$  such that  $n \neq 0$ , there exists a quotient  $\alpha_k \mathbb{Z} \rightarrow \mathbb{Z}/k$  with  $k$  relatively prime to  $n$ . In particular,  $n \pmod{k} \neq 0$  so  $\alpha_k(n) \neq 0$ .  $\blacklozenge$
- (3) Direct products of residually finite groups are residually finite.  
*Proof.* To see this note that every element has non-trivial image when projected to one of the factors. Now, compose with a finite quotient there.  $\blacklozenge$
- (4) Free groups are residually finite.  
*Proof.* Let  $X$  be the generators of a free group  $F$ . Consider a word  $x_n \dots x_1$  in reduced form where  $x_i$  or  $x_i^{-1}$  is in  $X$ . We will build a homomorphism  $F \rightarrow \text{Sym}(n+1)$ , the group of permutations of

$\Omega := \{1, \dots, n+1\}$ . Define a function  $X \rightarrow \Omega$  by  $f(y) = 1$  if  $y$  does not equal any  $x_i$  or  $x_i^{-1}$ . For the remaining elements we define  $f$  as follows:

Let  $A$  be the set of consisting of the  $i$  such that  $x_i = y$  and  $B$  be the set of consisting of the  $j$  such that  $x_j^{-1} = y$ . Set  $f(y)$  as any permutation  $\sigma$  that sends each  $i \in A$  to  $i+1$ , and for each  $j \in B$ , sends  $j+1$  to  $j$ . This is well-defined since an element and its inverse cannot occur adjacently in the reduced form for a word.

The function  $f$  extends to a homomorphism  $\phi: F \rightarrow \text{Sym}(n+1)$  by the universal property of  $F$ . Moreover,  $x$  has non-trivial image since  $\phi(x)(1) = n+1$ . As  $x$  was arbitrary we are done.  $\blacklozenge$

- (5) An infinite simple group is not residually finite.

**Lemma I.A.1.4.** *A group  $G$  is residually finite if and only if the intersection of all finite index normal subgroups of  $G$  is trivial.*

PROOF. Suppose  $G$  is residually finite and let  $g \in G$  be non-trivial. By the definition of residual finiteness there is a finite quotient  $\alpha_g: Q \twoheadrightarrow G$  such that  $\alpha_g(g) \neq 1_Q$ . Now,  $\ker \alpha$  is a finite index normal subgroup of  $G$  such that  $g \notin \ker \alpha$ . Since  $g$  was arbitrary it follows the intersection of all finite index normal subgroups of  $G$  equals  $\{1\}$ .

Conversely, suppose that the intersection of all finite index normal subgroups of  $G$  is trivial and let  $g \in G$  be non-trivial. By hypothesis there exists a finite index normal subgroup  $N \trianglelefteq G$  such that  $g \notin N$ . Thus,  $g$  has non-trivial image in the finite quotient  $G/N$ . Since  $g$  was arbitrary it follows that  $G$  is residually finite.  $\square$

For a general group  $G$  we will denote the intersection of all finite index normal subgroups by  $G^{(\infty)}$ . We call this subgroup the *finite residual* of  $G$ . By the previous lemma  $G$  is residually finite if and only if  $G^{(\infty)}$  is the trivial group.

**Exercise I.A.1.5.** Explain how Lemma I.A.1.4 is equivalent to ‘the intersection of all finite index subgroups of  $G$  is trivial’.

**Exercise I.A.1.6.** Let  $G$  be a residually finite group. If  $H \leq G$ , then  $H$  is residually finite.

**Exercise I.A.1.7.** Let  $I$  be a set and let  $G_i$  be a residually finite group for each  $i \in I$ . Then,  $\prod G_i$  is residually finite.

The following style of argument will appear a number of times. The key idea dates back to work of McKinsey on symbolic logic [McK43], but we provide an adaptation for groups first noticed independently by Dyson [H.64] and Mostowski [Mos66]. As well as G. Higman and A. Turing (unpublished).

**THEOREM I.A.1.8 (McKinsey’s Algorithm).** *A finitely presented residually finite group  $G$  has solvable word problem.*

PROOF. Note that finite groups are recursively enumerable. For each positive integer  $n$  we can generate all Cayley multiplication tables of size  $n \times n$  and check whether both a given table represents a group and if the

group is already on the list. Note that the existence of the table gives a solution to the word problem for any given finite group.

Fix an enumeration of all finite groups  $\{Q_i\}$  and presentation  $\langle X \mid R \rangle$  of  $G$ . The set  $\text{hom}(G; Q_i)$  is finite since a homomorphism is determined by its images on the generators and  $Q_i$  is finite. We need to check whether we can extend a map  $f: X \rightarrow Q_i$  to a homomorphism  $\phi: G \rightarrow Q_i$ . This amounts to checking the relations  $r \in R$  are satisfied. Fortunately, as  $R$  is finite a brute force approach will terminate. Hence, we may list all elements of  $\text{hom}(G, Q_i)$  in a finite amount of time. Fix an enumeration of all homomorphism from  $G$  to finite groups  $\phi_1, \phi_2, \dots$ .

Let  $g$  be a word in  $X$ . To solve the word problem we now run two machines:

The machine runs over all homomorphism  $\phi_i$  and checks if  $\phi_i(g) \neq 1$  (here we are using the solvability of the word problem in the codomain, a finite group). Since  $G$  is residually finite, if  $g \neq_G 1$ , this process will stop.

The second machine runs over all representatives  $w_j$  of 1 in  $G$  and checks whether  $g \equiv w_j$ . If  $g =_G 1$  this process will stop.

Since exactly one of the machines must stop we can algorithmically decide if  $g$  is the trivial word.  $\square$

**Remark I.A.1.9.** There exists a finitely generated residually finite group with unsolvable word problem. See [Mes74].

A *characteristic subgroup*  $K \leq G$  is one that is invariant under all automorphisms of  $G$ . Note that if  $G$  is finitely generated, then the intersection of all subgroups of index  $n$  is both finite index and characteristic in  $G$ .

**Proposition I.A.1.10** (Baumslag). [Bau63] *If  $G$  is a finitely generated residually finite group, then  $\text{Aut}(G)$  is residually finite.*

PROOF. Let  $a \in \text{Aut}(G)$  be non-trivial. There exists a  $g \in G$  such that  $a(g) \neq g$ . Let  $h = a(g)g^{-1}$ . Since  $h \neq 1 \in G$ , by Lemma I.A.1.4, there exists a finite index normal subgroup  $N \trianglelefteq G$  such that  $h \notin N$ . Let  $K$  denote the intersection of all subgroups of index  $|G : N|$  in  $G$ . Since  $K$  is characteristic, the surjection  $\alpha: G \twoheadrightarrow G/K$  induces a homomorphism  $\psi: \text{Aut}(G) \rightarrow \text{Aut}(G/K)$ . Moreover,  $\psi(a)$  is a non-trivial automorphism of  $G/K$  because  $\pi(h) \neq 1 \in G/K$ . Since  $a$  was arbitrary, we have verified that  $\text{Aut}(G)$  is residually finite.  $\square$

**Corollary I.A.1.11.** *Let  $N$  and  $Q$  be a residually finite groups. If  $N$  is finitely generated, then any semi-direct product  $G = N \rtimes Q$  is residually finite.*

PROOF. It is easy to see that elements of the form  $nq$  with  $n \in N$ ,  $q \in Q$  and  $q \neq 1$  are non-trivial in a finite quotient. Indeed, the projection  $\pi: G \twoheadrightarrow Q$  composed with a finite quotient  $\alpha$  of  $Q$  where  $\alpha(q) \neq 1$  suffices. It remains to find finite quotients for the elements  $n \in N$  with  $n \neq 1$ . Since  $N$  is residually finite there is a finite quotient  $\beta: N \twoheadrightarrow L$  such that  $\beta(n) \neq 1_L$ . Since  $N$  is finitely generated and  $L$  is finite there are only finitely many homomorphisms  $N \rightarrow L$ . The intersection of these homomorphisms is a finite index characteristic subgroup  $C$  of  $N$  and so preserved by  $Q$ . The

subgroup  $CQ$  is normal and has finite index in  $G$ . Moreover,  $n$  has non-trivial image in the finite group  $G/CQ$ .  $\square$

There is also a set of dynamical criterion for residual finiteness due to Cairns, Davis, Elton, Kolganova, and Perversi.

**Definition I.A.1.12.** Suppose that a group  $G$  acts continuously on a Hausdorff topological space  $X$ . Then we say that the action of  $G$  on  $X$  is *chaotic* if both of the following conditions hold

- (1) (*topological transitivity*) for every pair of non-empty open subsets  $U$  and  $V$  of  $X$ , there is an element  $g \in G$  such that  $g(U) \cap V \neq \emptyset$ ;
- (2) (*finite orbits dense*) the set of points in  $X$  whose orbit under  $G$  is finite is a dense subset of  $X$ .

**THEOREM I.A.1.13.** [CDE<sup>+</sup>95, Theorem 1] *For a group  $G$ , the following are equivalent:*

- (1)  $G$  is residually finite;
- (2) there is a faithful action of  $G$  with finite orbits dense on some Hausdorff topological space  $X$ ;
- (3) there is a faithful action of  $G$  with all orbits finite on some Hausdorff topological space  $X$ ;
- (4) there is a faithful chaotic action of  $G$  on some Hausdorff topological space  $X$ .

PROOF. <https://www.e-periodica.ch/cntmng?pid=ens-001:1995:41::68>

Todo 2: add proof

$\square$

**I.A.2. Mal'cev's Theorem.** In [Mal65] Mal'cev proved the following remarkable theorem:

**THEOREM I.A.2.1** (Mal'cev's Theorem). *A finitely generated linear group is residually finite.*

To prove Mal'cev's Theorem we will need to recall some basic ring theory.

Let  $R$  be a ring. Here a ring is associative and contains a multiplicative unit 1. A ring without a multiplicative identity is a *rng*.

A *zero-divisor* is a non-zero element  $z \in R$  such that there exists a non-zero element  $z'$  satisfying  $zz' = 0$ . A ring  $R$  is a *domain* if it has no zero-divisors.

We say a ring  $R$  is *left Noetherian* if  $R$  has the *ascending chain condition* on left ideals, that is, for every chain of left ideals  $I_1 \subset I_2 \subset \dots$  has a largest element. Said differently, this means there exists an  $n$  such that  $I_N = I_{N+1}$  for  $N > n$ .

Let  $S$  be a commutative ring and let  $R$  be an  $S$ -algebra. We say  $R$  is *finitely generated* if there exists a finite set of elements  $x_1, \dots, x_n \in R$  such that every element of  $R$  can be expressed as a polynomial in the  $x_i$  with coefficients in  $S$ .

The key examples of a finitely generated  $\mathbb{Z}$ - or  $\mathbb{F}_p$ -algebra for us is as follows.



**Example I.A.2.2.** Let  $k$  be a field, let  $G \leq \mathrm{GL}_n(k)$  be a finitely generated subgroup, and let  $X$  be a finite set of matrices (closed under inversion) generating  $G$ . The subring  $R$  of  $k$  generated as a  $k$ -algebra by the entries of the matrices in  $X$  and the multiplicative identity of  $k$  is a finitely generated algebra over  $\mathbb{Z}$  if  $\mathrm{char} k = 0$  and over  $\mathbb{F}_p$  if  $\mathrm{char} k = p$  a prime. Moreover,  $G \leq \mathrm{GL}_n(R)$ .

**Lemma I.A.2.3.** *Let  $S$  be a commutative Noetherian ring and let  $R$  be a finitely generated  $S$ -algebra. The following assertions hold:*

- (1)  $R$  is Noetherian;
- (2) if  $R$  is a field, then it is finite;
- (3) the intersection of the maximal ideals of  $R$  is 0.

PROOF OF THEOREM I.A.2.1. Let  $G$  be a finitely generated linear group over some field  $k$ . Thus,  $G \leq \mathrm{GL}_n(k)$  for some  $n \geq 0$ . As in Example I.A.2.2 we find that  $G \leq \mathrm{GL}_n(R)$  where  $R$  is a finitely generated  $\mathbb{Z}$ -algebra.

For an ideal  $I \subseteq R$ , let  $\Gamma(I)$  to be the  $I$ th principle congruence subgroup of  $\mathrm{GL}_n(R)$  defined by

$$\Gamma(I) := \ker(\mathrm{GL}_n(R) \rightarrow \mathrm{GL}_n(R/I)),$$

where the homomorphism is defined by taking entries of  $\mathrm{GL}_n(R)$  modulo  $I$ .

Now, since  $R$  is Noetherian (Lemma I.A.2.3(i)), if  $I$  is a maximal ideal then  $R/I$  is a field and by Lemma I.A.2.3(ii) it is finite. Hence,  $\mathrm{GL}_n(R/I)$  is finite and so  $\Gamma(I)$  is a finite index normal subgroup of  $\mathrm{GL}_n(R/I)$ . Now, the intersection

$$\bigcap_{I \subseteq R} \Gamma(I) \quad \text{where } I \text{ ranges over all maximal ideals}$$

is finite by Lemma I.A.2.3(iii). The theorem follows from Lemma I.A.1.4.  $\square$

The following example of Druţu and Sapir gives an example of a non-linear residually finite one-relator group.

**Example I.A.2.4.** [DS05] (Druţu–Sapir) The group  $\langle a, t \mid t^2 a t^{-2} = a^2 \rangle$  is non-linear but is residually finite. Their proof depends in an essential way on [Weh73].

### I.A.3. An aside: Hopficity.

**Definition I.A.3.1.** A group  $G$  is *Hopfian* if every surjection  $G \twoheadrightarrow G$  is injective.

Another result of Mal'cev shows that for finitely generated groups the Hopf property follows from residual finiteness.

**Proposition I.A.3.2.** *Let  $G$  be a finitely generated group. If  $G$  is residually finite, then  $G$  is Hopfian.*

PROOF. Suppose  $G \cong G/N$  for some normal subgroup  $N$ , our aim is to show that  $N$  is trivial. As  $G$  is finitely generated, that the number of subgroups of index  $m$  in  $G$  is finite. Moreover, the number of subgroups of index  $n$  in  $G/N$  is equal to the number of subgroups of index  $n$  in  $G$ . The bijection is  $H_i/N \leftrightarrow H_i$ . But then it is clear that  $N \leq H_i$  for every finite index subgroup of  $G$ . Thus,  $N \leq G^{(\infty)} = \{1\}$  where the equality follows from the fact  $G$  is residually finite and Lemma I.A.1.4.  $\square$

**Example I.A.3.3** (Baumslag–Solitar groups). We define a family of one-relator groups, known as Baumslag–Solitar groups, by

$$\mathrm{BS}(m, n) := \langle a, t \mid ta^mt^{-1} = a^n \rangle.$$

We claim *if  $(|m|, |n|) = 1$ , then  $\mathrm{BS}(m, n)$  is non-Hopfian*. Let  $p$  be a prime dividing  $m$  but not  $n$ . Define an homomorphism

$$\nu: \mathrm{BS}(m, n) \rightarrow \mathrm{BS}(m, n) \text{ by } \begin{cases} a \mapsto a^p, \\ t \mapsto t. \end{cases}$$

We first prove that  $\nu$  is an epimorphism. We have  $\nu(t) = t$  and

$$\nu([t, a^{m/p}]) = ta^{m/p}t^{-1}a^{-m} = a^n a^{-m} = a^{n-m},$$

now since  $\nu(a) = a^p$  and  $(p, n - m) = 1$ , by Bézout's Identity there exist integers  $x, y$  such  $a^x p a^{y(n-m)} = a$ . It remains to check that  $\ker \nu$  is non-empty. Note that the element  $[t, a^{m/p}]^p a^{m/p}$  is non-trivial in  $\mathrm{BS}(m, n)$ , but

$$\nu([t, a^{m/p}]^p a^{m/p}) = (ta^{m/p}t^{-1}a^m)^p a^{(m-n)p} = (a^n a^{-m})^p a^{(m-n)p} = 1.$$

Hence,  $\nu$  is not injective. ♦

In fact a complete study of the residual finiteness and Hopficity of Baumslag–Solitar groups has been completed. The Hopfian property was verified by Baumslag and Solitar in [BS62], however, their claim of which  $\mathrm{BS}(m, n)$  are residually finite was incorrect. A correct and complete argument was later given by Meskin [Mes72].

**THEOREM I.A.3.4** (Baumslag–Solitar, Meskin). *The groups  $\mathrm{BS}(m, n)$  are*

- (1) *Hopfian if and only if  $m$  and  $n$  have the same prime factors;*
- (2) *residually finite if and only if  $|m| = |n|$  or at least one of  $|m|$  or  $|n|$  equals 1.*

**PROOF.** To be added, for now see [Mes72, Section 2].

Todo 3: Add proof

□

**Definition I.A.3.5.** A group  $G$  is *co-Hopfian* if every injection  $G \hookrightarrow G$  is surjective. We say  $G$  is *finitely co-Hopfian* if every injection  $G \hookrightarrow G$  whose image has finite index is surjective.

**Exercise I.A.3.6.** Let  $G$  be a finitely generated group. Suppose  $X(G)$  is a group invariant taking values in  $\mathbb{R}$  which for every finite index subgroup  $H \leq G$  satisfies  $X(H) = |G : H|X(G)$ . If  $X(G) \neq 0$ , then  $G$  is finitely co-Hopfian.

Examples of invariants (when they are defined) satisfying the hypothesis of the exercise include: Euler characteristic  $\chi(G)$ ,  $\ell^2$ -Betti numbers  $b_i^{(2)}(G)$ , and  $\ell^2$ -torsion  $\rho^{(2)}(G)$ .

## I.B. Subgroup separability

**I.B.1. The definition.** We will now extend the notion of residual finiteness to subgroups.

**Definition I.B.1.1** (Separable subgroup). Let  $G$  be a group and let  $H \leq G$ . We say  $H$  is *separable* in  $G$  if for each  $g \in G \setminus H$  there exists a finite index subgroup  $K_g \leq G$  with  $H \leq K_g$  and  $g \notin K_g$ .

It is easy to see a subgroup  $H$  is separable in  $G$  if and only if  $H$  is an intersection of finite index subgroups of  $G$ . In particular,  $G$  is residually finite if and only if the trivial subgroup is separable in  $G$ .

**Lemma I.B.1.2.** *Let  $G$  be a finitely generated group and let  $H \leq G$ . Then,  $H$  is separable in  $G$  if and only if for every  $g \in G \setminus H$  there exists a finite quotient  $\alpha: G \twoheadrightarrow Q$  with  $\alpha(g) \notin \alpha(H)$ .*

PROOF. Let  $g \in G \setminus H$ . We have a homomorphism  $\alpha: G \twoheadrightarrow Q$  with  $Q$  finite and  $\alpha(g) \notin \alpha(H)$ . Define  $K := \alpha^{-1}(\alpha(H))$  and note that  $K$  contains  $H$ , but  $g \notin K$ . Thus, it suffices to show that  $K$  is finite index in  $G$ , but this is clear since it contains  $\ker \alpha$  with has index  $|Q|$  in  $G$ .

Conversely, suppose  $H$  is separable in  $G$  and let  $g \in G \setminus H$ . By hypothesis there exists a finite index subgroup  $K_g \leq G$  containing  $H$  but not  $g$ . Let  $N$  denote the *core* of  $K_g$ , that is the intersection of all of the conjugates of a  $K_g$  in  $G$ . Since  $G$  is finitely generated,  $N$  is a finite index normal subgroup of  $G$ . Let  $\alpha$  denote the quotient  $G \twoheadrightarrow G/N$ . The claim that  $\alpha(g) \notin \alpha(H)$  will follow from  $\alpha(H) \leq \alpha(K_g)$  once we show  $\alpha(g) \notin \alpha(K_g)$ . The latter statement follows from the finite index of  $N$ , the fourth (or lattice) isomorphism theorem, and the fact that  $gK_g$  and  $K_g$  are distinct cosets of  $K_g$ .  $\square$

**Exercise I.B.1.3.** Let  $H$  be a separable subgroup in a finitely generated group  $K$ . If  $K$  is a finite index subgroup of a group  $G$ , then  $H$  is separable in  $G$ .

Let  $H \leq G$ . We say  $H$  has *solvable membership problem* in  $G$  if there exists an algorithm which takes as input an element  $g \in G$  and decides if  $g \in H$ .

**THEOREM I.B.1.4.** *Let  $H$  be a finitely generated subgroup of a finitely presented group  $G$ . If  $H$  is separable in  $G$ , then  $H$  has solvable membership problem in  $G$ .*

PROOF. Let  $g \in G$  and fix a generating set  $S = \{h_1, \dots, h_k\}$  of  $H$ . The algorithm consists of two processes run in parallel of which one will stop. The first process enumerates finite quotients of  $G$  and checks to see if the image of  $H$  contains the image of  $g$ . If the image of  $g$  is not contained in the image of  $H$ , then the algorithm stops since  $g \notin H$ . The second process works in a finitely generated free group  $F$  such that  $F \twoheadrightarrow G$  is a finite presentation with relations  $R$ . We enumerate the words  $w_\ell$  in the free monoid on  $S \cup S^{-1}$  and the products  $p_k$  in  $F$  of conjugates of relators  $r \in R$ . We check to see if each  $g^{-1}w_\ell$  is freely equal to  $p_k$ , it is then the algorithm stops since  $g \in H$ .  $\square$

The following result, due to Mihaïlova [Mih58, Mih66], shows that  $F_2 \times F_2$  does not in fact have solvable subgroup membership problem.

**THEOREM I.B.1.5** (Mihailova). *The group  $F_2 \times F_2$  has unsolvable subgroup membership problem.*

**PROOF.** Note that  $F_2^2$  contains  $F_n^2$  for every  $n \geq 1$  so we may work in  $F_n^2$  for some  $n$ . Let  $Q$  be a finitely presented group with unsolvable word problem and let  $S$  generate  $Q$ . Let  $|S| = n$  and let  $\pi: F_n^2 \twoheadrightarrow Q^2$  be the natural projection. Let  $D = \{(g, g)\} < Q^2$  be the diagonal subgroup and define  $\Delta = \pi^{-1}(D) < F_n^2$ . Now,  $(x, y) \in \Delta$  if and only if  $x$  and  $y$  project to the same element in  $Q$ . But  $Q$  has unsolvable word problem so we cannot decide if  $(x, y) \in \Delta$ .

It remains to check that  $\Delta$  is finitely generated. Let  $R$  be a finite set of relations for  $Q$ . We claim that

$$\mathcal{S} := \{(g, g) : g \in S\} \cup \{(r, 1) : r \in R\} \cup \{(1, r) : r \in R\}$$

is a generating set. The issue is that  $R$  only normally generates the kernel of the map  $F_n \rightarrow Q$ , however, if  $w \in F_n$  and  $r \in R$  we have

$$(wrw^{-1}, 1) = (w, w)(r, 1)(w^{-1}, w^{-1})$$

of which each term is in  $\mathcal{S}$ . □

**Corollary I.B.1.6.**  *$F_2 \times F_2$  has inseparable subgroups.*

**I.B.2. LERF groups.** We say a group  $G$  is *extended residually finite* (ERF) if every subgroup of  $G$  is separable. We say  $G$  is *locally extended residually finite* (LERF) if every finitely generated subgroup of  $G$  is separable.

Two groups  $G$  and  $H$  are *commensurable* if there exists finite index subgroups  $K \leq G$  and  $L \leq H$  such that  $K \cong L$ .

**Exercise I.B.2.1.** Let  $G$  be a finitely generated LERF group. If  $H$  is a group commensurable with  $G$ , then  $H$  is LERF.

**Examples I.B.2.2.** We record a few examples:

- (1) finite groups are trivially LERF;
- (2)  $\mathbb{Z}$  is also trivially seen to be LERF;
- (3)  $\mathbb{Z}^n$  is LERF.

*Proof.* Let  $L \leq \mathbb{Z}^n$  and let  $g \in \mathbb{Z}^n \setminus L$ . We may assume  $L$  has infinite index since all finite index subgroups are separable by definition. Since  $L \triangleleft \mathbb{Z}^n$  we may consider the quotient  $\pi_L: \mathbb{Z}^n \twoheadrightarrow A = \mathbb{Z}^n/L$ . Note that  $\pi_L(g) \neq 0$ . Now,  $A$  is a finitely generated abelian group and hence residually finite. Thus, there exists a finite (abelian) quotient  $\alpha: A \twoheadrightarrow Q$  where  $\alpha(\pi_L(g)) \neq 0 \in Q$ . Moreover,  $\ker(\alpha \circ \pi_L)$  contains  $L$ . Hence, we have separated  $L$  from  $g$  in  $Q$ . ♦

- (4) It follows from Corollary I.B.1.6 that  $F_2 \times F_2$  is not LERF.

A particularly famous result due to Marshall Hall Jr. is that free groups are LERF [Hal49]. We divert the proof to the next section.

**THEOREM I.B.2.3** (Marshall Hall Jr.'s Theorem). *Free groups are LERF*

It follows from Theorem I.B.2.3 and Corollary I.B.1.6 that direct products of LERF groups are not necessarily LERF.

**I.B.3. The connection with covering theory.** Separability of subgroups has a particularly powerful interpretation when viewed from a topological lens. The following somewhat miraculous result of Scott allows one to promote immersions to embeddings, at the cost of taking a finite cover, when in the presence of subgroup separability.

Let  $X$  and  $Y$  be CW complexes. Recall that an *immersion* is map  $f: X \looparrowright Y$  such that  $f$  is a locally injective combinatorial map. The map  $f$  is *combinatorial* if it maps the interior of each cell homeomorphically onto its image.

**THEOREM I.B.3.1. [Sco78]** *Let  $G$  be a finitely generated group and let  $X$  be a CW complex with  $\pi_1 X = G$ . Let  $H \leq G$  and let  $Y \rightarrow X$  be the cover corresponding to  $H$ . Then,  $H$  is separable if and only if for every finite subcomplex  $K \subseteq Y$ , there exists an intermediate finite sheeted cover  $Y \rightarrow Z \rightarrow X$  such that  $K$  embeds as a subcomplex of  $Z$ .*

**PROOF.** Suppose the geometric condition holds for some subgroup  $H$  with cover  $Y \rightarrow X$  and let  $g \in G \setminus H$ . Pick a basepoint  $x \in \tilde{X}$  in the universal cover of  $X$  and let  $K = \pi(x \cup gx) \subseteq Y$ , where  $\pi: \tilde{X} \rightarrow Y$  is the universal covering map. By hypothesis we obtain a finite covering  $Z \rightarrow X$  with corresponding finite index subgroup  $G'$  such that  $K \subseteq Z$ . But, then  $g \notin G'$ . Hence,  $H$  is separable.

Now, suppose  $H$  is a separable subgroup of  $G$  with corresponding cover  $Y \rightarrow X$  and let  $K$  be a finite subcomplex of  $Y$ . Let  $\pi: \tilde{X} \rightarrow Y$  be the universal cover and let  $C = \pi^{-1}K$ . Pick a finite subcomplex  $D$  of  $C$  such that  $\pi(D) = K$  and note that  $S := \{g \in G: gD \cap D \neq \emptyset\}$  is finite. Since  $H$  is separable we can find a finite index subgroup  $G'$  of  $G$  such that  $H \leq G'$  and  $G' \cap S \subseteq H$ . The cover  $\tilde{X}/G' \rightarrow X$  is then the required intermediate finite cover.  $\square$

We will also need the following easy lemma.

**Lemma I.B.3.2.** *Let  $\Delta$  and  $\Gamma$  be finite graphs and let  $f: \Delta \looparrowright \Gamma$  be an immersion. Then extends  $f$  to a finite-sheeted covering  $\hat{\Gamma} \rightarrow \Gamma$  such that  $\Delta$  embeds in  $\hat{\Gamma}$ .*

**PROOF.** Fix an orientation and a colouring on the edges of  $\Gamma$ . This lifts to an orientation and a colouring on  $\Delta$ . A combinatorial map is an immersion if and only if at each vertex, we see each colour arriving exactly once and leaving exactly once. Let  $n$  denote the number of vertices of  $\Delta$  and for each colour  $c$  of  $\Gamma$  let  $n_c$  be the number of edges of  $\Delta$  coloured  $c$ . There are  $n - n_c$  vertices of  $\Delta$  missing incoming edges with colour  $c$  and the same number of vertices missing outgoing edges with colour  $c$ . Pick any bijection between these sets and glue in  $n - n_c$  edges coloured  $c$ . Repeating this for each colour we eventually obtain a covering space  $\hat{\Gamma}$  of  $\Gamma$  such that  $\Delta \subset \hat{\Gamma}$ .  $\square$

We now give Stallings's proof of the Marshall Hall Theorem.

**PROOF OF THEOREM I.B.2.3.** Now, let  $\Gamma$  be a finite rose and  $H$  a finitely generated subgroup of  $F = \pi_1 \Gamma$ . Let  $\Delta \rightarrow \Gamma$  be the covering corresponding to  $H$ , and consider a finite subcomplex  $K \subset \Delta$ . Since  $H$  is finitely generated, we may enlarge  $K$  to ensure that  $K$  is connected and

that  $\pi_1 K = H$ . But  $\Delta \rightarrow \Gamma$  is an immersion, so can be completed to a finite-sheeted covering  $\hat{\Gamma} \rightarrow \Gamma$  by Lemma I.B.3.2. The result now follows from Theorem I.B.3.1.  $\square$

## I.C. Pro topologies on groups

### I.C.1. The definition.

**Definition I.C.1.1** (Profinite topology). Let  $\mathcal{N}$  be a non-empty collection of finite index normal subgroups of a group  $G$ . We say  $\mathcal{N}$  is *filtered from below* if whenever  $N_1, N_2 \in \mathcal{N}$ , there exists  $N \in \mathcal{N}$  such that  $N \leq N_1 \cap N_2$ . We make  $G$  into a topological group by taking  $\mathcal{N}$  as a basis of open neighbourhoods of the identity the collection. We refer to the corresponding topology as a *pro topology* on  $G$ .

Let  $H_1, \dots, H_n$  be groups. A *subdirect product* of  $H = \prod_{i=1}^n H_i$  is any subgroup  $G$  of  $H$  such that the projections  $\pi_i: G \rightarrow H_i$  are surjective.

**Definition I.C.1.2** (Formation). A class of finite groups  $\mathcal{C}$  is called a *formation* if  $\mathcal{C}$  is closed under taking quotients and subdirect products.

**Examples I.C.1.3.** The following are examples of formations:

- (1) the class of all finite groups;
- (2) the class of all finite abelian groups;
- (3) the class of all finite nilpotent groups;
- (4) the class of all finite soluble groups;
- (5) the class of all finite  $p$ -groups for a fixed prime  $p$ .

**Definition I.C.1.4** (Pro- $\mathcal{C}$  topology). Let  $\mathcal{C}$  be a formation of finite groups. The (*full*) *pro- $\mathcal{C}$  topology* on  $G$  is the topology  $\tau_{\mathcal{C}}(G)$  on  $G$  given by taking as a basis of open neighbourhoods of the identity the collection

$$\mathcal{N}_{\mathcal{C}}(G) := \{N \trianglelefteq G \mid G/N \in \mathcal{C}\}.$$

When  $\mathcal{C}$  is the class of all finite groups, we denote the topology by  $\tau_G$  and refer to it as the *profinite topology* on  $G$ .

**Lemma I.C.1.5.** *Let  $\mathcal{C}$  be a formation of finite groups. A group  $G$  is residually  $\mathcal{C}$  if and only if its pro- $\mathcal{C}$  topology is Hausdorff.*

PROOF. Suppose  $G$  is residually- $\mathcal{C}$ . Let  $g \neq h$  be elements of  $G$ . Since,  $gh^{-1}$  is non-trivial, there exists a finite index subgroup  $N < G$  such that  $gh^{-1} \notin N$ . Hence,  $gN \cap hN = \emptyset$ . This proves the topology is Hausdorff. Reversing the argument yields the converse.  $\square$

**Definition I.C.1.6** (Separable subset). Let  $S$  be subset of  $G$ . We say  $S$  is  *$\mathcal{C}$ -separable* if  $S$  is closed in pro- $\mathcal{C}$  topology on  $G$ .

**Lemma I.C.1.7.** *Let  $\mathcal{C}$  be a formation of finite groups and let  $G$  be a group. Suppose  $H \leq G$ . Then,  $H$  is closed in the pro- $\mathcal{C}$  topology on  $G$  if and only if  $H$  is the intersection of open subgroups of  $G$ .*

PROOF. An open subgroup in the topology  $\tau_{\mathcal{C}}(G)$  has finite index so it is also a closed subgroup. Hence, any intersection of open subgroups is closed.

For the converse suppose  $H$  is closed in  $\tau_{\mathcal{C}}(G)$  and let  $g \in G \setminus H$ . There exists some  $N \in \mathcal{N}_{\mathcal{C}}(G)$  such that  $xN \cap H = \emptyset$ . Thus,  $x \notin HN$ . It follows that  $H = \bigcap N \in \mathcal{N}_{\mathcal{C}}(G)HN$ . But each  $HN$  is open, whence the lemma.  $\square$

**Exercise I.C.1.8.** Let  $\mathcal{C}$  be a formation of finite groups and let  $G$  be a group. Suppose  $H$  is a finite index subgroup of  $G$ . Then,  $H$  is open in the pro- $\mathcal{C}$  topology on  $G$  if and only if  $G/\text{Core}_G(H)$  is in  $\mathcal{C}$ .

### I.C.2. Virtual retracts.

**Definition I.C.2.1** (Virtual retract). Recall that  $H \leq G$  is a *retract* if the inclusion  $i: H \hookrightarrow G$  has a left inverse  $r: G \rightarrow H$ , that is  $r \circ i = \text{id}_H$ . Similarly, we call  $H$  a *virtual retract*, written  $H \leq_{\text{vr}} G$ , if  $H$  is a retract of a finite index subgroup of  $G$ .

The following useful lemma is due to Hsu and Wise [HW99, Lemma 3.9].

**Lemma I.C.2.2.** *Let  $G$  be a residually finite group and let  $H \leq G$ . If  $r: G \rightarrow H$  is a retract, then*

- (1)  $H$  is closed in the profinite topology on  $G$ ;
- (2) if  $K$  is closed in profinite topology on  $H$ , then  $K$  is closed in the profinite topology on  $G$ ;
- (3) the inclusion map  $H \rightarrow G$  induces a homeomorphism onto its image of profinite topologies.

PROOF. We first prove (1). Let  $N = \ker r$  and note that  $G = NH$  and  $N \cap H = 1$  so we may express any element of  $G$  uniquely as a product  $nh$  with  $n \in N$  and  $h \in H$ . Since  $G$  is residually finite we may pick a chain  $(G_i)$  of finite index normal subgroups of  $G$  such that  $\bigcap_i G_i = 1$ . Let  $N_i = G_i \cap N$  and note that  $|G : N_i H| = |NH : N_i H| = |N : N_i| \leq |G : G_i|$ . Hence,  $(N_i H)$  is a sequence of finite index subgroups of  $H$  whose intersection is exactly  $H$ .

We now prove (2). Let  $K$  be a closed subgroup of  $H$ . Since  $r: G \rightarrow H$  is continuous with respect to the profinite topologies, the preimage  $r^{-1}(K)$  is closed in  $G$ . Now,  $K = H \cap r^{-1}(K)$  and so is the intersection of closed subgroups and hence closed in  $G$ .

The claim (3) follows from (2).  $\square$

**Lemma I.C.2.3.** *Let  $G$  be a finitely generated residually finite group and let  $H \leq G$ . If  $H \leq_{\text{vr}} G$ , then  $H$  is closed in the profinite topology on  $G$ .*

PROOF. Let  $K \leq G$  be a finite index subgroup admitting a retract  $r: K \rightarrow H$ . By Lemma I.C.2.2 we see that  $H$  is closed in the profinite topology on  $K$ . But  $K$  is finite index in  $G$ , so every closed subset of  $K$  is closed in  $G$ . Hence,  $H$  is closed in the profinite topology on  $G$ .  $\square$

We state some elementary properties of virtual retracts first collected by Minasyan [Min21, Lemma 3.2].

**Exercise I.C.2.4.** Suppose that  $G$  and  $G'$  are groups.

- (1) Let  $H \leq_{\text{vr}} G$  and  $A \leq G$  such that  $H \leq A$ . Then,  $H \leq_{\text{vr}} A$ .
- (2) Suppose  $H \leq G$  and that there exists a homomorphism  $\phi: G \rightarrow G'$  such that  $\phi|_H$  is injective. If  $\phi(H) \leq_{\text{vr}} G'$ , then  $H \leq_{\text{vr}} G$ .
- (3) If  $H \leq_{\text{vr}} G$  and  $\alpha \in \text{Aut}(G)$ , then  $\alpha(H) \leq_{\text{vr}} G$ .
- (4) If  $H \leq_{\text{vr}} G$  and  $A \leq_{\text{vr}} H$ , then  $A \leq_{\text{vr}} G$ .
- (5) If  $H \leq_{\text{vr}} G$  and  $H' \leq_{\text{vr}} G'$ , then  $H \times H' \leq_{\text{vr}} G \times G'$ .
- (6) If  $G$  is finitely generated and  $H \leq_{\text{vr}} G$ , then  $H$  is undistorted in  $G$ .

Let  $G$  be finitely generated by a set  $S$  and  $H \leq G$  be finitely generated by a set  $T$ . We say  $H$  is *undistorted* if there exists a  $C > 0$  such that for all  $h \in H$  we have  $|h|_T \leq C \cdot |h|_S$ . Where  $|\cdot|_S$  and  $|\cdot|_T$  are the word metrics in  $G$  and  $H$  with respect to  $T$  and  $S$  respectively.



## Bibliography

- [Bau63] Gilbert Baumslag. Automorphism groups of residually finite groups. *J. London Math. Soc.*, 38:117–118, 1963. [10.1112/jlms/s1-38.1.117](https://doi.org/10.1112/jlms/s1-38.1.117). Cited on Page 4.
- [BS62] Gilbert Baumslag and Donald Solitar. Some two-generator one-relator non-Hopfian groups. *Bull. Amer. Math. Soc.*, 68:199–201, 1962. [10.1090/S0002-9904-1962-10745-9](https://doi.org/10.1090/S0002-9904-1962-10745-9). Cited on Page 7.
- [CDE<sup>+</sup>95] G. Cairns, G. Davis, D. Elton, A. Kolganova, and P. Perversi. Chaotic group actions. *Enseign. Math. (2)*, 41(1-2):123–133, 1995. Cited on Page 5.
- [DS05] Cornelia Druțu and Mark Sapir. Non-linear residually finite groups. *J. Algebra*, 284(1):174–178, 2005. [10.1016/j.jalgebra.2004.06.025](https://doi.org/10.1016/j.jalgebra.2004.06.025). Cited on Page 6.
- [H.64] Dyson. V. H. The word problem and residually finite groups. *Notices Amer. Math. Soc.*, 11:743, 1964. Abstract 616-7. Cited on Page 3.
- [Hal49] Marshall Hall, Jr. Subgroups of finite index in free groups. *Canad. J. Math.*, 1:187–190, 1949. [10.4153/cjm-1949-017-2](https://doi.org/10.4153/cjm-1949-017-2). Cited on Page 9.
- [Hal50] Marshall Hall, Jr. A topology for free groups and related groups. *Ann. of Math. (2)*, 52:127–139, 1950. [10.2307/1969513](https://doi.org/10.2307/1969513). Cited on Page 2.
- [HW99] Tim Hsu and Daniel T. Wise. On linear and residual properties of graph products. *Michigan Math. J.*, 46(2):251–259, 1999. [10.1307/mmj/1030132408](https://doi.org/10.1307/mmj/1030132408). Cited on Page 12.
- [Mal65] A. I. Mal'tsev. On the faithful representation of infinite groups by matrices. *Transl., Ser. 2, Am. Math. Soc.*, 45:1–18, 1965. [10.1090/trans2/045/01](https://doi.org/10.1090/trans2/045/01). Cited on Page 5.
- [McK43] J. C. C. McKinsey. The decision problem for some classes of sentences without quantifiers. *J. Symbolic Logic*, 8:61–76, 1943. [10.2307/2268172](https://doi.org/10.2307/2268172). Cited on Page 3.
- [Mes72] Stephen Meskin. Nonresidually finite one-relator groups. *Trans. Amer. Math. Soc.*, 164:105–114, 1972. [10.2307/1995962](https://doi.org/10.2307/1995962). Cited on Page 7.
- [Mes74] Stephen Meskin. A finitely generated residually finite group with an unsolvable word problem. *Proc. Amer. Math. Soc.*, 43:8–10, 1974. [10.2307/2039314](https://doi.org/10.2307/2039314). Cited on Page 4.
- [Mih58] K. A. Mihaïlova. The occurrence problem for direct products of groups. *Dokl. Akad. Nauk SSSR*, 119:1103–1105, 1958. Cited on Page 8.
- [Mih66] K. A. Mihaïlova. The occurrence problem for direct products of groups. *Mat. Sb. (N.S.)*, 70(112):241–251, 1966. Cited on Page 8.
- [Min21] Ashot Minasyan. Virtual retraction properties in groups. *Int. Math. Res. Not. IMRN*, (17):13434–13477, 2021. [10.1093/imrn/rnz249](https://doi.org/10.1093/imrn/rnz249). Cited on Page 12.
- [Mos66] A. Włodzimierz Mostowski. On the decidability of some problems in special classes of groups. *Fund. Math.*, 59:123–135, 1966. [10.4064/fm-59-2-123-135](https://doi.org/10.4064/fm-59-2-123-135). Cited on Page 3.
- [Sco78] Peter Scott. Subgroups of surface groups are almost geometric. *J. London Math. Soc. (2)*, 17(3):555–565, 1978. [10.1112/jlms/s2-17.3.555](https://doi.org/10.1112/jlms/s2-17.3.555). Cited on Page 10.
- [Weh73] B. A. F. Wehrfritz. Generalized free products of linear groups. *Proc. London Math. Soc. (3)*, 27:402–424, 1973. [10.1112/plms/s3-27.3.402](https://doi.org/10.1112/plms/s3-27.3.402). Cited on Page 6.

## Index

- Chaotic group action, 5
- Characteristic subgroup, 4
- Combinatorial map, 10
  
- Filtered from below, 11
- Finite residual, 3
- Formation, 11
  
- Hopfian, 6
  - Co-Hopfian, 7
  - Finitely co-Hopfian, 7
  
- Immersion, 10
  
- LERF, 9
  
- Pro
  - Pro topology, 11
  - Pro- $\mathcal{C}$  topology, 11
- Profinite
  - Profinite topology, 11
  
- Residually  $\mathcal{P}$ , 2
- Retract, 12
  - Virtual Retract, 12
  
- Separable
  - $\mathcal{C}$ -Separable, 11
  - Separable subgroup, 8
  - Separable subset, 11
- Subdirect product, 11
  
- Theorem
  - Mal'cev's Theorem, 5
  - Marshall Hall's Theorem, 9
  - McKinsey's Algorithm, 3

## Todo list

Todo 1: Write introduction . . . . .	1
Todo 2: add proof . . . . .	5
Todo 3: Add proof . . . . .	7